

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

Docket No.  
**27710-A**

Application Of: **SABNIS, Ram et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/745,350	12/21/2000	Barreca, Nicole M.	23589	1756	2350

Invention: **Organic Polymeric Antireflective Coatings Deposited by Chemical Vapor Deposition**

**COMMISSIONER FOR PATENTS:**

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

**Statement Under 37 CFR 1.97(e)(1)**

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

**Statement Under 37 CFR 1.97(e)(2)**

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

*Signature*

**Tracy Bornman, Reg. No. 42,347  
HOVEY WILLIAMS LLP  
2405 Grand Boulevard, Suite 400  
Kansas City, MO 64108  
816/474-9050**

**ATTORNEYS FOR APPLICANT**

Dated: Nov. 9, 2004

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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE  
(Rev. 2-32) PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.  
27710-A

SERIAL NO.  
09/745,350

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

APPLICANT: SABNIS, Ram W. et al.

FILING DATE:  
12/21/2000

GROUP: 1756

CONF. NO: 2350

(Use several sheets if necessary)

### U.S. PATENT DOCUMENTS

EXAM. INITIAL		DOCUMENT NUMBER							INVENTOR NAME	CLASS	SUB- CLASS	ISSUE DATE (PATENT); PUBLICATION DATE (PUBLISHED APPLICATION); OR FILING DATE (NON-PUBLISHED APPLICATION)
		5	1	5	3	9	8	6	Brauer et al.			October 13, 1992

### FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO
		07-211616 (machine translation)	August 11, 1995	Japan			yes	
		07-198908 (machine translation)	August 1, 1995	Japan			yes	

### OTHER DOCUMENTS (Including Publisher, Author, Title, Relevant Pages, and Date and Place of Publication)


EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

SABNIS, RAM W. et al.

Serial No.: 09/745,350

Filed: December 21, 2000

ORGANIC POLYMERIC ANTIREFLECTIVE  
COATINGS DEPOSITED BY CHEMICAL  
VAPOR DEPOSITION

Docket No.: 27710-A

Confirmation No.: 2350

Group Art Unit No.: 1756

Customer No.: 23589

Examiner: BARRECA, NICOLE M.

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TRANSMITTAL

Transmitted herewith are: Transmittal (1 page); Transmittal of Information Disclosure Statement Under 37 CFR 1.97(c) (2 pages); Statement Under 37 CFR 1.97(e) Accompanying Information Disclosure Statement (1 page); Information Disclosure Statement by Applicant Form PTO-1449 (1 page); 3 cited references (1 U.S. and 2 foreign); and return postcard.

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EL 973753669 US

Express Mail No.

Respectfully submitted,

HOVEY WILLIAMS LLP

Dated: November 9, 2004

by

Tracy Bornman, Reg. No. 42,347

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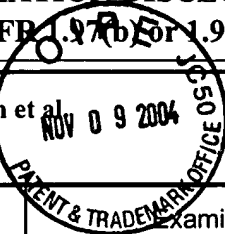
816/474-9050

ATTORNEYS FOR APPLICANT

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) For 1.97(c))

Docket No.  
27710-A

In Re Application Of: SABNIS, Ram et al



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/745,350	12/21/2000	Barreca, Nicole M.	23589	1756	2350

Title: **Organic Polymeric Antireflective Coatings Deposited by Chemical Vapor Deposition**

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☒ the statement specified in 37 CFR 1.97(e);

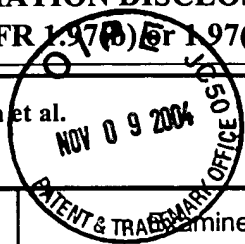
OR

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
27710-A

In Re Application: SABNIS, Ram et al.



Application No. 09/745,350	Filing Date 12/21/2000	Examiner Barreca, Nicole M.	Customer No. 23589	Group Art Unit 1756	Confirmation No. 2350
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Title: **Organic Polymeric Antireflective Coatings Deposited by Chemical Vapor Deposition**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 19-0522 as described below.
- ☐ Charge the amount of \_\_\_\_\_
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Handwritten signature of Tracy Borman.

\_\_\_\_\_  
Signature

Dated: November 9, 2004

CC: